

FORM PTO-1390 (REV 10-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		U.S. DOCKET NUMBER EW-004US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C.371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/700462	
INTERNATIONAL APPLICATION PCT/GB99/01561		INTERNATIONAL FILING DATE 17 May 1999 (17.05.99)		PRIORITY DATE CLAIMED 15 May 1998 (15.05.98)	
TITLE OF INVENTION LIBRARIES OF OLIGOMERS LABELLED WITH DIFFERENT TAGS					
APPLICANT(S) FOR DO/EO/US Edwin Mellor SOUTHERN; Mikhail Sergeevich SHCHEPINOV; John Nicholas HOUSBY; Alan Lewis HAMILTON; and John Kenneth ELDER					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C.371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted) (4 sheets); 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11. to 16. below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment (7 sheets); <ol style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Transmittal Letter (2 sheets in duplicate); PCT Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (PCT/IB/308) (1 sheet); PCT International Published Application (WO 99/60007) (without International Search Report) (66 sheets); Cover sheet of PCT International Published Application (WO 99/60007) (with International Search Report attached) (8 sheets); PCT Notification of Transmittal of the International Preliminary Examination Report (21 sheets); Certificate of Express Mailing (1 sheet); and Return Postcard. 					

U.S. APPLICATION NO. (if known, see)

INTERNATIONAL APPLICATION NO./
PCT/GB99/01561ATTORNEY'S DOCKET NO.
SHW-004US17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) .(a/o November 1, 2000):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....\$1000

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO\$860

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.455(a)(2)) paid to USPTO\$710

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$860

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$--

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	74 -20 =	54	X \$18.00
Independent claims	13 -3 =	10	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00

\$972

\$800

\$0

TOTAL OF ABOVE CALCULATIONS =

\$2632

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$1316

SUBTOTAL =

\$1316

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$--

TOTAL NATIONAL FEE =

\$1316

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$--

TOTAL FEES ENCLOSED =

\$--

Amount to be: refunded	\$
charged	\$

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 12-0080 in the amount of \$1316 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Anthony A. Laurentano, Esq.
LAHIVE & COCKFIELD, LLP
28 State Street
Boston, Massachusetts 02109
United States of America
(617) 227-7400
Date: 15 November 2000

SIGNATURE

Anthony A. Laurentano

NAME

38,220

REGISTRATION NUMBER

529 Rec'd PCT/PTC 09/70046
15 NOV 2000

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/GB99/01561)

In re the
application of: **Edwin Mellor SOUTHERN et al.**

International Application No.: **PCT/GB99/01561**

International Filing Date: **17 May 1999**

U.S. Serial No.: **Not yet assigned**

Filed: **Herewith**

For: **LIBRARIES OF OLIGOMERS LABELLED
WITH DIFFERENT TAGS**

Attorney Docket No.: **SHW-004US**

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (7 sheets);
Declaration, Petition and Power of Attorney (unexecuted) (4 sheets); PCT Notice
Informing the Applicant of the Communication of the International Application to the
Designated Offices (1 sheet); PCT International Published Application (WO 99/60007)
(without International Search Report) (66 sheets); Cover sheet of PCT International
Published Application (WO 99/60007) (with International Search Report attached)
(8 sheets); PCT Notification of Transmittal of the International Preliminary
Examination Report (10 sheets); Certificate of Express Mailing (1 sheet);
and Return Postcard are being deposited by me with the United States Postal
Service "Express Mail Post Office to Addressee" service, Mailing Label No.
EL 263 572 570 US, under 37 CFR 1.10 on the date indicated below and is addressed
to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Date: 15 November 2000

Name: Ilidio P. Cardoso

Signature

